# Global EbA Fund

Providing catalytic support to create enabling environment for Ecosystem-based Adaptation (EbA)

Global EbA Fund

IUCN

UN environment programme

Supported by:

Federal Ministry for the Environment, Nature Conservation, Nuclear Safety and Consumer Protection

based on a decision of the German Bundestag

IKI INTERNATIONAL CLIMATE INITIATIVE

# Agenda

**Part 1 (will be recorded)**

- Introduction and background to the Fund
- Logical Framework development
- Budget development

**Part 2 (not recorded)**

- Question and answer (Q&A) session

- **Implemented by**: UNEP and IUCN

- **Funded by**: International Climate Initiative (IKI) under the Federal Ministry for Environment, Nature Conservation, Nuclear Safety and Consumer Protection

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# Purpose of the Fund

The **Global EbA Fund** is a <u>catalytic funding mechanism for supporting innovative approaches to EbA</u> to create enabling environment for its mainstreaming and scaling up.

The Fund helps to <u>overcome barriers to upscaling EbA</u>, address knowledge gaps, pilot innovative EbA approaches, engage in strategic EbA policy mainstreaming, and incentivise innovative finance mechanisms and private sector EbA investment.

# What we Fund

- *Catalytic and innovative projects* that help to *create enabling environment* for people to adapt to the adverse effects of climate change through the use of biodiversity and ecosystem services

- **USD 50,000 to USD 250,000**
  - *possible up to USD 500,000 with clear justification*

- **24 months**
  - *up to a maximum of 36 months, with justification*

- Global, thematic, or targeted to **ODA-eligible countries**

- Contribute to an *enabling environment for the implementation of EbA* and align with the FEBA EbA Qualification Criteria & Quality Standards.



Global EbA Fund

Grant Procedures Manual


IUCN


UN environment

# STRATEGIC OBJECTIVES

The "What"

**1** Awareness building, expanding knowledge, enhancing institutional capacities for mainstreaming EbA

**2** Address planning and other governance gaps in policy and regulatory environments

**3** Expand access to sustainable short- and long-term finance mechanisms for applying and scaling up ecosystem-based approaches

**Global EbA Fund**

# ACTION PILLARS

The "How"

### Levers for catalytic change
- ••• > Policy and policy instruments
- ••• > Education and capacity building
- ••• > Working through the value chain perspective
- ••• > Financing

### Functional Data and Science
- ••• > Future scenario modelling
- ••• > Traditional knowledge and technologies
- ••• > Climate risk and vulnerability assessments

### Innovation for Adaptation
- ••• > Developing unconventional partnerships
- ••• > Support the integration of EbA in novel sectors
- ••• > Development of an innovative tool for EbA implementation

| Pillar 1: Levers for Catalytic Change | Pillar 2: Functional Data and Science | Pillar 3: Innovation for Adaptation |
|---|---|---|
| Examples:<br>• Policy and policy instruments (national, sub-national, sectoral, NDCs, NAPs, green public procurement, etc)<br>• Education, capacity building and skills development<br>• Financing<br>• Working through the value chain perspective | Examples:<br>• Climate risk and vulnerability assessments, including One Health<br>• Future scenario modelling<br>• Cost-benefit and multi-criteria analysis and making an economic case for EbA<br>• Job generation potential<br>• Traditional knowledge and technologies | Examples:<br>• Developing unconventional partnerships<br>• Support the integration of EbA in novel sectors;<br>• An innovative tool for EbA implementation;<br>• Strategic pilot or demonstration actions, specifically where high potential exists for up-scaling through multilateral funds (e.g. GCF) |

# Developing a logical framework

- **Useful resources**



Guidebook for Monitoring and Evaluating Ecosystem-based Adaptation Interventions
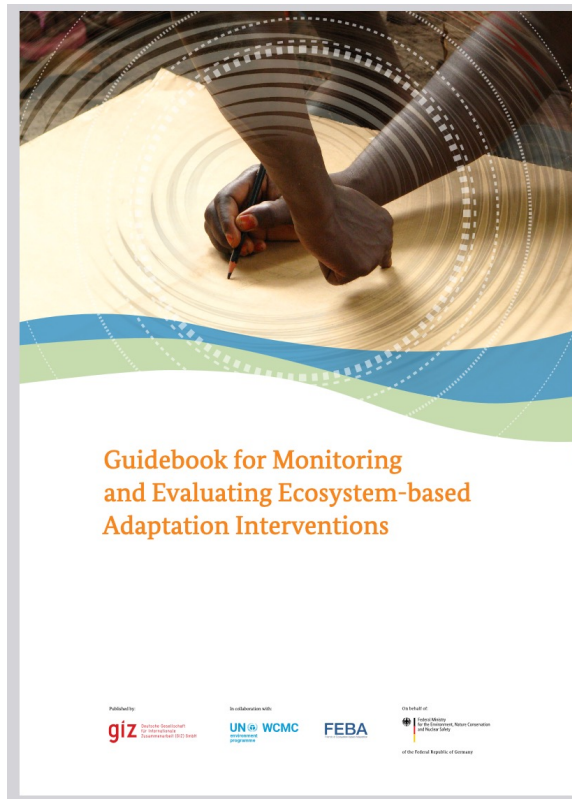


Catalog > Environmental Studies Courses

SDG academy

## Ecosystem-based Adaptation: Working with nature to adapt to a changing climate

The course will equip learners with transferable and replicable skills in designing and implementing Ecosystem-based Adaptation (EbA) initiatives by offering targeted training on key principles, risk assessments, monitoring, and governance.



**Monitoring and reporting core indicator framework**
Pilot

# Logical Framework

**Logical Framework**

For projects with multiple outcomes, please replicate the table and add respective outputs and milestones per each outcome.

| 1. Project Outcome 1 | Indicators | Sources and Means of Verification | |
|---|---|---|---|
| *Insert a project outcome* | *[All indicators should have a measurable Baseline (where available) and Target listed in brackets]* | *Insert both data source and method for measuring progress against indicator target* | |
| **Project milestones that show progress towards achieving the project outcome** | | | **Expected Milestone for each 6 month period** |
| **Milestone 1** *Insert* | | | *Insert* **Month**/**Year** |
| **M2** *Insert* | | | *Insert* **Month**/Year |
| **M3** *Insert* | | | *Insert* **Month**/Year |
| **…** *Insert* | | | *Insert* **Month**/Year … |
| **2. Project Outputs under Outcome 1** | Indicators | Sources and Means of Verification | |
| A) *Insert first project output 1.1* | *Insert indicator (plus measurable baseline and target in brackets)* | *Insert both data source and method for measuring progress against indicator target* | |
| **Project output Milestones:** | | | **Expected Milestone for each period** |
| M1 *Insert one milestone for the first six month period for the first project output* | | | *Insert* **Month**/Year |
| M2 *Insert one milestone for the second six month period for the first project output* | | | *Insert* **Month**/Year |
| M3 *Insert one milestone for the third six month period for the first project output* | | | *Insert* **Month**/Year… |
| M4 *etc* | | | *Insert* **Month**/Year… |
| B) *Insert second project output 1.2* | *Insert indicator (plus measurable baseline and target in brackets)* | *Insert both data source and method for measuring progress against indicator target* | |
| **Project Output Milestones:** | | | **Expected Milestone for each period** |

## Detailed Project Activities

Please apply the same numbering of outputs as in the logical framework above.

| Output | Detailed description of activities | Deliverables | Delivery date |
|---|---|---|---|
| 1.1 | A.1 Description | A.1 expected deliverables | A.1 DATE |
| | A.2 Description | A.2 expected deliverables | A.2 DATE |
| | A.3 Description, etc. | | |
| 1.2 | B.1 Description | | |
| | B.2 Description | | |
| | B.3 Description, etc. | | |
| 1.3 | C.1 Description | | |
| | C.2 Description | | |
| | C.3 Description, etc. | | |

# Core indicator framework

- **Cluster I: Policy engagement, institutional strengthening and M&E (3 indicators)**
  - Capture policies or policy instruments; institutional coordination mechanisms; and MEL instruments developed, introduced or improved

- **Cluster II: Socio-economic development (5 indicators)**
  - Beneficiaries supported; supply chains stabilised; value chain actors engaged; jobs created; livelihoods improved

- **Cluster III: Ecosystem health (1 indicator)**
  - Area of ecosystems under improved practices for conservation, restoration and sustainable management to help people adapt to climate change

- **Cluster IV: Knowledge generation (1 indicator)**
  - Evidence-based knowledge products, tools, events developed

- **Cluster V: Financing for EbA (2 indicators)**
  - Finance leveraged; financial instruments developed

# DETAILED LINE-ITEM BUDGET

*Organization's Name*

*Project Title*

*Project Duration*

| | | Budget by Activity | | | | | |
|---|---|---|---|---|---|---|---|
| **A** | **Staff (salary + social security contributions)** | **Activity 1.1** | **Activity 1.2** | **Activity 1.3** | **Activity 2.1** | **Activity 3.1** | **Grand Total** |
| A.1 | Project Manager | | | | | | 0.00 |
| A.2 | Project Officer, etc. | | | | | | 0.00 |
| A.3 | | | | | | | 0.00 |
| A.4 | | | | | | | 0.00 |
| A.5 | | | | | | | 0.00 |
| | Subtotal Personnel (salary + benefit) | | | | | | **0.00** |
| **B** | **External services** | | | | | | **0.00** |
| B.1 | Consultancy 1 (function description) | | | | | | 0.00 |
| B.2 | Consultancy 2 (function description) | | | | | | 0.00 |
| B.3 | Consultancy 3 (function description) | | | | | | 0.00 |
| B.4 | Audit - A project closure audit is mandate if the total requested EbA fund is more than $100,000 | | | | | | 0.00 |
| | Subtotal External services | | | | | | **0.00** |
| **C** | **Literature and printing** | | | | | | **0.00** |



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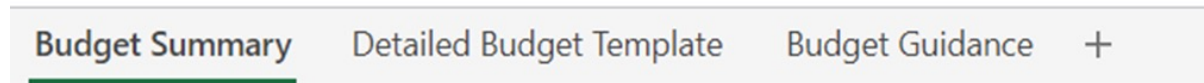# Budget

# Budget

- The budget template is separated into 3 tabs, filling in the Budget Summary and Detailed Budget Template tabs is mandatory.

| Budget Summary | Detailed Budget Template | Budget Guidance | + |

- All calculations and monetary amounts must be listed in US Dollars.

- No income may be generated from the funds provided.

- Granting or sub-granting to governments at any level is not permitted

- Granting or sub-granting to UNEP and IUCN global/regional/country offices is not permitted.

- Any re-allocation of funds amongst budget lines, exceeding 10% within a budget line, will be permitted only upon IUCN's prior written approval.

# Budget Category and Detailed Budget Template

Staff

External Service

Travel

Events

Literature and printing

Procurement of materials and equipment

Other direct costs/consumables

Subgrants

Administrative cost (Max 10%)

| A | Staff (salary + social security contributions) | Unit Cost | | | | Requested Global EbA Fund | Co-financing by Applicant | Project Total | Budget by Activity | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Unit | Number | Amount | Rate | | | | Activity 1.1 | Activity 1.2 | Activity 1.3 | Activity 2.1 | Activity 3.1 | Grand Total |
| | | months | | salary + benefit | % effort | | | | | | | | | |
| A.1 | Project Manager | Month | 24 | 3,000.00 | 80.00% | 57,600.00 | | 57,600.00 | | | | | | 0.00 |
| A.2 | Project Officer, etc. | | | | | 0.00 | | 0.00 | | | | | | 0.00 |
| A.3 | | | | | | 0.00 | | 0.00 | | | | | | 0.00 |
| A.4 | | | | | | 0.00 | | 0.00 | | | | | | 0.00 |
| A.5 | | | | | | 0.00 | | 0.00 | | | | | | 0.00 |
| | Subtotal Personnel (salary + benefit) | | | | | 57,600.00 | 0.00 | 57,600.00 | | | | | | 0.00 |
| B | External services | | # units | unit cost | | | | 0.00 | | | | | | 0.00 |
| B.1 | Consultancy 1 (function description) | Daily Rate | | | | 0.00 | | 0.00 | | | | | | 0.00 |

# Staff (prime costs for employees of the Recipient (own staff))

- Only employees of the Recipient/i.e. individuals who are in <u>an employment relationship</u> subject to social security contributions and receive a salary on which the Recipient pays social security contributions.

- <u>Only direct personnel costs actually incurred</u> (direct personnel costs), consisting of gross salary and social costs, are recognized. All costs should be <u>actual.</u>

- Social costs are <u>statutory social expenses</u> such as employer contributions to social insurance (pension, unemployment, health, long-term care and accident insurance) or collectively agreed social expenses.

- <u>Monthly Timesheets</u> for documenting working time on EbA fund project are mandatory.

# Timesheet Sample

## Timesheet

| | |
|---|---|
| Global EbA Fund Project reference | 0 |
| First and last name of the employee | 0 |
| Name of the organisation | 0 |
| Productive hours - monthly total | 0.0 |
| Year | 2022 |
| Month | December |

### Day of the month

| | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | 15 | 16 | 17 | 18 | 19 | 20 | 21 | 22 | 23 | 24 | 25 | 26 | 27 | 28 | 29 | 30 | 31 | TOTAL |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Global EbA Fund | | WE | WE | | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | 0.0 |
| Other projects and activities | | WE | WE | | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | 0.0 |
| Sub-total of productive hours | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 0.0 |
| Sick leave | | WE | WE | | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | 0.0 |
| Public holiday | | WE | WE | | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | 0.0 |
| Annual leave | | WE | WE | | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | 0.0 |
| Other absences | | WE | WE | | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | WE | | | | | | WE | 0.0 |
| Sub-total of absences | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | 0.0 |
| Total number of hours | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 | 0.0 |

**Date and signature of the employee**

Date:
Signature :

**Date, name and signature of the supervisor:**

Date:
Name:
Signature:

## External Service

- Self-employed or employed by a service provider who is not the Recipient.

- May only be commissioned in compliance with Procurement Policy and Procedures for Grant Recipients.

- Project closure audit - A project closure audit is mandate if the total requested EbA fund is more than $100,000.

# Travel

- IUCN Travel Policy and Procedures for Non-Staff shall apply to all travel expenses.

- Air Travel -  Business and First class travel is not permitted

- Transport and accommodation costs are reimbursed on the basis of actual costs incurred, whereas the cost of meals and ancillary can be reimbursed on the basis of a Daily Subsistence Allowance (DSA).

| COUNTRY | AREA / CITY | KEY | DSA | | |
|---|---|---|---|---|---|
| | | | USD | CHF | EUR |
| | | , | – | – | – |
| Afghanistan | Kabul | Afghanistan, Kabul | 54 | 53 | 54 |
| Afghanistan | | Afghanistan, | 31 | 30 | 31 |
| Albania | Tirana | Albania, Tirana | 50 | 49 | 50 |
| Albania | Durres | Albania, Durres | 60 | 59 | 60 |
| Albania | Korca | Albania, Korca | 47 | 46 | 47 |
| Albania | Saranda | Albania, Saranda | 55 | 54 | 55 |
| Albania | Shkodra | Albania, Shkodra | 34 | 34 | 34 |
| Albania | | Albania, | 32 | 31 | 32 |

# Procurement of materials and equipment

• Under this cost category include items of equipment and unexpendable materials.

• Items and assets with an individual value above USD 500 need to be inventoried.

• The use of all items and assets during the project duration needs to be earmarked for the specific purpose of the project as outlined and approved in the project proposal

• Purchase of equipment should be in compliance with Procurement Policy and Procedures for Grant Recipients.

# Administrative costs

- Maximum 10%.
- Lead partner's administrative costs cannot be charged on sub-grantees' cost.

## Subgrants

- Global EbA Fund will not support a small grants program directly.

## Co-Financing

- Co-Financing are not a requirement for funding but are greatly appreciated and encouraged.

# Financing instalments

| 1st Period | 2nd Period | 3rd Period | 4th Period |
|---|---|---|---|
| 1st payment instalment upon signing agreement | 2nd payment instalment upon request | 3rd payment instalment upon request | 4th payment instalment upon request |
| | | | |
| | 1st reporting | 2nd reporting | 3rd reporting |
| | | | |

- 80% of previous payment must be spent for the release of next grant payment.
- A Final Instalment equal to no less than ten percent (10%) of the Budget shall be withheld until IUCN's written acceptance of the final reports.

**Grant Procedures Manual**

✓Grants Procedures Manual contains all the essential information

✓Budget template has a dedicated tab with guidance on budget development

# How to Apply
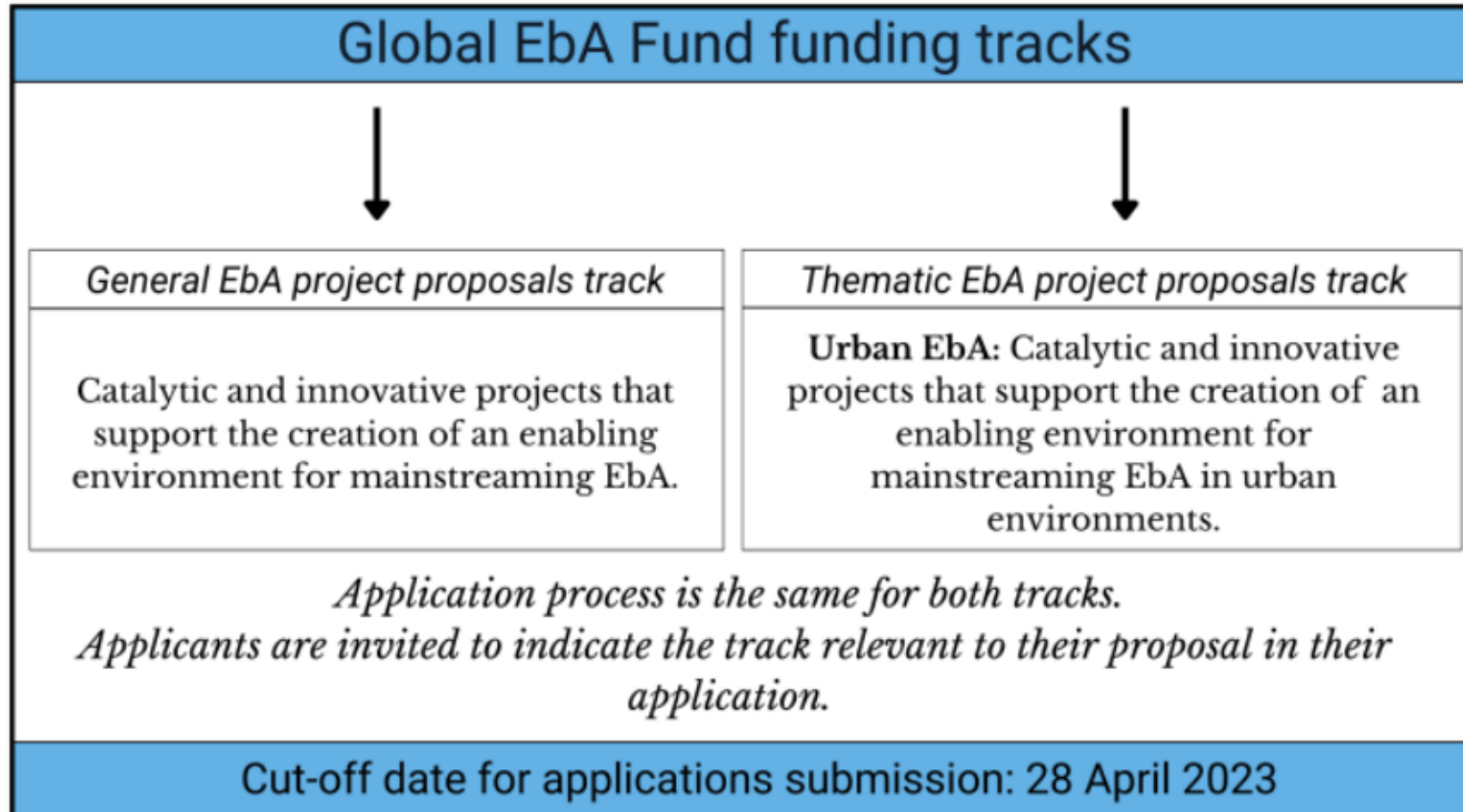


**Global EbA Fund funding tracks**

*General EbA project proposals track*

Catalytic and innovative projects that support the creation of an enabling environment for mainstreaming EbA.

*Thematic EbA project proposals track*

**Urban EbA:** Catalytic and innovative projects that support the creation of an enabling environment for mainstreaming EbA in urban environments.

*Application process is the same for both tracks.*
*Applicants are invited to indicate the track relevant to their proposal in their application.*

Cut-off date for applications submission: 28 April 2023

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# How to Apply

## One-stage process: Full project proposal

- Submit complete application packet with all supporting documents to submit.EbAFund@iucn.org

- Complete the online submissions form – https://globalebafund.org/submissions/

- Documents in languages other than English should include a translated version

- The maximum file size per email is **15MB**

  - If too large for one email submission, please submit several emails appropriately labelled - [Project Title [Organisation Name]]  1 of 3

- Please avoid linked file sharing methods, such as WeTransfer or OneDrive.

☐ Completed Screening Criteria

☐ Completed Project Proposal Template

☐ Completed Full Proposal Budget Template

☐ CVs of key staff involved in the project

☐ Audited financial statements from the last fiscal year (and for the last 2 fiscal years, if available)

☐ Signed Applicant Declaration Form

☐ Signed Co-applicant Mandate, if applicable

☐ Completed Online Submissions Form

☐ Completed Due Diligence Questionnaire

☐ Completed ESMS Screening Questionnaire (Table 1)

☐ Certificate of registration of the applicant organisation *(see 4.1. Legal Entity / Judicial Person Status in the Grant Procedures Manual for details)*

☐ Certificate of registration for each partner organisation that will receive funding, if applicable *(see 4.1. Legal Entity / Judicial Person Status in the Grant Procedures Manual for details)*

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